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If Foreign, Date of	U.S. Entry:	Naturaliz	ation Date:	
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# Raphael Osheroff, M.DONFD Chestant Lidge ADMINISTRATOR'S BISCIPACE LIMITERY.

Admission Date: January 2, 1979
Discharge Date: August 1, 1979

Description of the Patient:

Dr. Osheroff is a 41-year-old, thrice married and currently separated physician, who was admitted to Cheshut Lodge on a voluntary status following two years of intractable depression of increasing severity. He has resided on Main II and Dr. Manuel Ross has been his therapist throughout his hospitalization.

Summary of Illness leading to Hospitalization: Dr. Osheroff dates the onset of his illness as about the Fall of 1976 when his second wife (from whom he had been divorced since 1972) left to live permanently in Europe and took their two childrentthem. His sadness and loss at that time made him agree to the suggestion to sell part of his lucrative renal dialysis business from which he had derived much self-esteem and sense of importance. Although his symptoms varied somewhat, his general course was downhill. He developed a strong obsessive tendency to ruminate about his losses of all that was important to him to all who would listen, and especially to his mother. He increased his alcohol intake, had crying spells, functioned poorly at work, had difficulty making decisions, was agitated and finally began having frequent suicidal thoughts. He underwent outpatient psychotherapy with Dr. Wellhouse (which included a trial of antidepressants) from February 1977 until December 1978, and he also consulted Dr. Nathan Kline in July of 1978, who tried him on larger doses of Sinequan (200 mg per day) and ultimately on Lithium. He responded to this latter therapy with only transient improvement and with rapid return of his depressive symptoms; he later elected to stop these medications on his own. He was then referred to Chestnut Lodge for inpatient therapy by Dr. Wellhouse and admitted on the 2nd of January 1979 on a voluntary status.

Hospital Course:

Dr. Osherhoff was treated with intensive psychoanalytically oriented therapy four times a week by Dr. Ross. He also received much help and guidance in personal hygiene and interpersonal interactions from the nursing staff. He generally avoided participating in unit meetings and activities therapy, preferring instead to lie on his bed exhaustively (to the point where he developed painful blisters and corns on his feet for which he generally refused appropriate care prescribed by a podiatrist). Furthermore, he often reacted to structure and nursing care with angry, abusive outbursts towards the staff, stating he was a doctor and knew what was best for him. Encouraging more off-unit activities was only partially successful and his use of the telephone required restrictions in order to avoid his belaboring his plight too long and too often to collegues, associates and relatives. Because

important business decisions affecting his future needed to be made, and because of his ambivalence, self-destructiveness and difficulty making independent constructive decisions, his parents and lawyers petitioned to have a guardian appointed for him to protect his interests during his illness. Consequently, Mr. E. B. Evans, Jr., attorney, and Mr. Louis Bader (the patient's step-father) were appointed as coguardians in July 1979. It was then the decision of his parents to transfer Dr. Osheroff to a different institution as they had been disappointed in his progress to date. Therefore transfer to Silver Hill Foundation was arranged on August 1, 1979.

Condition on Discharge:

At the time of his discharge, Dr. Osheroff remains severely depressed and agitated with thoughts of suicide and nearly constant rumination about the loss of his children, his wife, his business and his importance in the local medical community. He continued to be agitated and spend much of his time pacing; he also considers his illness to be life-long and intractable.

Plans for Discharge:

Dr. Osheroff will be transferred (on the day of his discharge from Chestnut Lodge) to Silver Hill Foundation in New Canaan, Connecticut for further inpatient treatment.

Prognosis:

It is probable that Dr.Osheroff's acute symptoms will resolve in time with appropriate treatment and sufficiently for him to take, once again, an active role in his profession. However, his severe personality disorder will be less responsive to treatment, and be likely to continue to interfere with his immediate progress and future life.

Diagnoses:

Admitting Diagnoses:

- 1) Manic depressive illness, depressed type DSM-II. 296.2 and
  - 2) Personality disorder (unspecified) DSM-II. 301.9.

Initial Staff Conference Diagnoses:

- Psychotic depressive reaction, agitated type DSM-II. 298.1 and
- 2) Personality disorder (unspecified) DSM-II. 301.9 Final Diagnoses:

(Same as Initial Staff Conference diagnoses).

C.W. Dingman, M.D. Clinical Administrator

CWD: TET

MONTHLY PROGRESS REPORT ON PATIENT

## CONFIDENTIAL

RE: Osheroff, R.

TO: Dr. Ross

FROM: Clinical Director

DATE: January 1979 (to be dictated at the end of the month)

Dr. Osheroff was admitted to the hospital on January 2 of this year. He appears to be in a reactive depression, reacting to two specific stimuli—the loss of his business and the loss of the children from his second marriage. He masochistically flays himself or expounds on grandiose self. There is no evidence that he is psychotic at this time.

Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Osheroff, R.

TO: Dr. Ross

FROM: Clinical Director

DATE: February 1979 (to be dictated at the end of the month)

Ray continues to be depressed. He continues to accuse himself of having lost everything. It is obvious, at least to me, that he is unable to mourn or relinquish anything and that many of his foreboding predictions are baseless, that the poverty he speaks about is emotional poverty rather than financial poverty.

Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Osheroff, R.

TO: Dr. Ross

FROM: Clinical Director

DATE: March 1979 (to be dictated at the end of the month)

There is little clinical change in Ray. During the past month he has never seemed to tire of repeating his past history, framing it always in terms of "loss." He needs endless reassurance and cannot accept the content of what is said to him and has a doubting mania that anything that is being said to him has any truth at all.

Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Osheroff, R.

TO: Dr. Ross

FROM: Clinical Director

DATE: April 1979 (to be dictated at the end of the month)

Ray continues to spend his entire day on the unit. Occasionally he brightens a little bit and lets me know something of his past history other than the depressive complaints. However, so far in our work, there has been no significant changes in the symptomatic picture.

Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Osheroff, R.

TO: Dr. Ross

FROM: Clinical Director

**DATE:** May 1979

(to be dictated at the end of the month)

Ray did manage during this month to straighten out some of his financial problems with his third wife--at least it is not about to boil over in his mind. His second wife, however, is beginning to open up legal action in order to get something from him. What this something is that she wants is not clear from what I can get from him. In fantasy, he has to masochistically submit to her predations. He accuses himself of being a "criminal" for not "providing" for his children. It is a form of melancholia, not mourning.

Manuel Hoer G.D.
Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Raphael Osheroff, M.D.

TO: Dr. Ross

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FROM: Clinical Director

DATE: 6/79 (to be dictated at the end of the month)

There is some slight improvement in Dr. O's condition. Occasionally he places "injuries" into some kind of perspective. From what he tells me about his work with his lawyers, his legal situation also appears to be clear at this time. However, even this relief was not enough to bring him out of his continual state of ruminating about his "losses."

Manuel Ross, M.D.

#### MONTHLY PROGRESS REPORT ON PATIENT

RE: Raphael Osheroff, M.D.

TO: Dr. Ross

FROM: Clinical Director

DATE: 7/79

(to be dictated at the end of the month)

Toward the end of this month, Dr. O was withdrawn from the hospital and transferred to Silver Hill Hospital just outside New York City. The essential reason was that his mother and stepfather felt that he was not getting better fast enough and that Silver Hill would do a better job at bringing this about. Dr. O more or less passively accepted their recommendation. At the time he left treatment he was no longer acutely suicidal, but it is my impression that if he is left outside of a structured situation for any amount of time that he might become so one again—that is, I think he needs to be watched. His moods tend to be mercurial. 1 There is no evidence that he was psychotic during his stay here. The extreme narcissistic vulnerability, however, remained in place.

Manuel Ross, M.D.

# CLINICAL EVALUATION AND UTILIZATION REVIEW

Present: Drs. Ross, Bullard, Jr., Fort, Gruber, McGlashan, Cameron, Nayfack, LaVia. Also present: Dr. Rieger, Mrs. McDonald, and the head nurse of Main-II.

Dr. Osheroff was admitted to Chestnut Lodge in January of 1979. He carries a diagnosis of agitated depression in a narcissistic character disorder. The CEUC was called by Dr. Fort for the purposes of general case review. The patient's psychotherapy, administration and social work were reviewed in detail.

It was noted that although he continued to be symptomatic in many areas, he has engaged with his therapist and with the unit in a way which augurs well for a good long term outcome. Although he has talked about suicide on and off through most of his hospitalization to date, the unit has recently decided to place him on special because of some signs that his depression may be lifting (making this a time of heightened risk). The Medical Council endorsed this administrative move.

The use of antidepressant and/or other neuroleptic medication was raised. Also considered was his potential need for electroshock therapy as an alternate somatic treatment for his depression and/or suicidal preoccupations. It was felt that though these modalities might provide some temporary symptomatic relief, his problems over the long term are best handled with the modalities we are now applying. As such, it was felt that neither drugs nor shock were indicated. The group also felt that we should and will remain open to consultation on this issue. The patient and his family have been informed that although we do not recommend somatic modalities at the present time, they are free to seek outside consultation regarding these questions.

It was felt that one of the most important elements of his treatment will be to avoid treating him as unusual, or special, or very different from other patients or other human beings. Many of his current difficulties stem from a long prior history of such special treatment. One of the most important aspects of his treatment will be the application of firm and consistent limits and the unit was encouraged to continue to apply these as they have up to the current time.

Because the unit's administrator was on vacation and the social worker could not attend because of unexpected illness in the family, it was recommended that a review be rescheduled for three months hence.

Thomas H. McGlashan, M.D.
Secretary

EXHIBIT H HCA 82-262

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### ADMINISTRATIVE PROGRESS NOTES

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#### ADMINISTRATIVE PROGRESS NOTES

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Feb.	his business was being pressured by outside interests. He remains on one-ne staff escort when off the unit and on 10 minute checks when he unit.
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### ADMINISTRATIVE PROGRESS NOTES

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Sheroff, Raphael May 1979 Alis telephone privile Self-esteem to not or yer, and his colleage the would surreptious that these calls were associates and so, or to one call a week to that all other calls consent of Mrs. Pala Notaris (the patient his current financia close to bankruptcy. Was still very well the next two years. pressed and angry; h pacing or lying on h keep up his personal and staff remained m increase his interac pattern, Dr. Osherof 4 p.m. each day begi Osheroff, Raphael May '79 (cont'd)	that ges to lamen ly his mothers at work. It was to talk to becoming an athe 7th of his mother must be made sios. On the saccountant situation, At that time of financial Clinically Descriptions and gestion with other has been long on the in ing on the in the saccountant of the s	t Dr. Osheroff t his situation r but also his On calls oster d dial another someone else. noying as well the month, Dr. ( on Saturday and in the present 17th of May Mr. ) and the patic which according the it was learned by, both at present or. Osheroff remonst on the present of the month are and to interest of the month of the m	accountant, his not nisted to his mot number when staff Mrs. Palacios le as upsetting to Osheroff was rest to e of and with the series and for at met to determ to the patient, ed that Dr. Osheroff his time either so little effort to with other patients. In an effort errupt this behave	sings low law-ther ff was rict ted he mine soff leas to not and ffort riches to the ffort riches to the soft

#### DR. DINGMAN'S ADMINISTRATIVE PROGRESS NOTES

Osheroff, Raphael Dr. Osheroff's care and treatment continued to be complicated by various June 1979 legal and business matters. In the hopes of facilitating appropriate decisions with respect to his current business arrangements, and keeping in mind that Dr. Osheroff's decisions during his illness have tended to be self-destructive, a letter was composed and sent to his various lawyers and his mother stating that I considered Dr. Osheroff to be temporarily incompetent, with respect to decision making in the area of his professional and financial matters. The time in which Dr. Osheroff is locked out of his room was increased to include the time from 1 p.m. to 7 p.m. each day on the 7th of June, and on the 13th of June an order was written for him to have daily walks. ward the end of the month he himself asked to return to getting his meals in the dining room and to have more off-unit activities. Although Dr. Osheroff continues to show moderate agitation, as well as periodic angry and belligerent outbursts, especially toward female staff, toward the end of the month his personal hygiene was noted to improve and he seemed to be pacing less frequently than previously. Recently, on occasion, he has even indicated verbally some approval and consideration of others. OND war MD

During the month of July, Dr. Osheroff's Osheroff, Raphael symptoms again increased in severity. July 1979 He was frequently very agitated, paced exhaustively, ruminated frequently about his various losses and talked more frequently of suicide. Following his frequent bumping into another male patient while he was pacing in an agitated manner on the hall, this other male patient pushed him strongly and knocked him down into a trash basket where he sustained a mild lumbar contusion. However, he did go for occasional off-unit walks and trips to the Center during this period. The increase in symptoms noted in Dr. Osheroff during this month may have been in part a result of his wife, Joy, telling him that she wanted a separation, his former wife's successful suit for full custody of their children, and finally his being declared incompetent with respect to financial and business matters affecting his life. As a result of the court's declaration of his incompetence, Dr. Osheroff's stepfather (Mr. Bader) and a lawyer were made co-guardians of Dr. Osheroff's person. Meanwhile, Dr. Osheroff's mother and stepfather (probably with the collusion of Dr. Osheroff himself), decided that Dr. Osheroff's recovery would be facilitated by his transfer to another institution. At a CEUC held late in the month, during my absence, it was decided not to resist such a transfer. Therefore, on August 1, 1979, Dr/ Osheroff was discharged and transferred (and admitted) that day to the Silver Hill Foundation Hospital in New Canaan, CW Diramanno Connecticut.

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# Raphael Osheroff, M.D. Older Chestnut Lodge Abgust 1., 1999 THERAPIST DICHARD SUMMERY

Dr. Osheroff was admitted to Chestnut Lodge on 1/2/79, and discharged to Silver Hill Hospital, outside New York City, on 8/1/79. Dr. Osheroff came to the hospital with one major complaint that had a number of facets. The overall theme was that of loss of power (potency, money, women, infantile entitlement). He claimed his current debacle started when his second wife took his two sons to Europe after their divorce. A more persistent and louder complaint was that he lost his "business". This business consists of several dialysis centers which he sold when his psychological problems became overwhelming. Even though he is a wealthy man by any standards, "the loss of the business" was something that he constantly referred to as irreparable. He constantly spoke of the past with little reference to his current situation, i.e. his third wife and young son.

There had been no evidence at any time during his stay at Chestnut Lodge that he was psychotic. During the seven months that he was in the hospital there was some change but nothing of a major sort. He continued to remain agitated, complaining and wanting immediate results. He referred to his past lengthy efforts at treatment as either filled with miraculous cures or endless desolation. Apparently he was in this condition for some months, if not years, before coming into the hospital and it led directly to his third's wife's separation from him.

As I see the patient dynamically, he created with his "business" and his "beautiful wife" an aura of narcissistic invulnerability about him, in which people would support his puffed up notions of himself. When this world collapsed because of his persistent conflicts, he saw everything as desolation and unconsciously sought to renew a relationship of a symbiotic sort in which narcissistic gratification was at the fore with his mother. Indeed from the history we have, it would appear that his mother supported whatever he had done, even when it would not have been judicious to support him. She, like he, blames his current condition on external events. This was to lead directly to his transfer to Silver Hill when the clinical picture did not brighten fast enough. The patient's mother and stepfather decided to get him out of the hospital quickly and up to Silver Hill where they felt that medication would turn the trick quickly.

Prognostically, I don't think this patient is going to recover unless he stays in treatment for a prolonged period of time. I would consider him as falling within the diagnostic realm of the severe narcissistic disorders.

There has been some debate about what diagnostic category he belongs to. Dr. Dingman, for example, felt that the differential diagnosis was between manic depressive illness, depressed



type, or depressive neurosis (severe), or psychotic depressive reaction, agitated type. I saw nothing in the patient during the time he was seeing me of psychotic proportions, and I believe it would be better to consider him operationally from a standpoint of a narcissistic wound rather than that of a depressive disorder.

Whatever the diagnosis. I believe it is essential that Dr. Osheroff settle down in one place for a long period of time, in order to get well. The prognosis is guarded. In the past, Dr. Osheroff has done things impulsively when he is not getting his way in treatment and whenever that is, he bolts for something quick and seemingly easier.

At the time of his transfer to Silver Hill, I felt that he was still potentially suicidal. By potentially I mean that if there was a sudden shift in the environment, especially if he was outside of the structured situation, he might think of taking his life. However, I believe that within a structured situation, the chances of a suicidal attempt are minimized although they are still there.

Subsequent to his discharge from Chestnut Lodge, I received two phone calls from Dr. Osheroff. The first was a glowing report about Silver Hill. This was some two weeks after he arrived there. He claimed the drugs (an antidepressant) had helped him enormously and that he was on the road toward recovery. A few weeks later, I got another call; this time his complaints were much more familiar to me: namely, nothing was working and he was not getting enough, drugs weren't working, etc. etc.

Diagnostically, I felt he might best fall in the area of a depressive neurosis (severe)DSM-II. 300.4, and personality disorder (narcissistic vulnerability) DSM-II. 301.9.

Manuel Ross, M.D.

### VITAL STATISLIDS OF FAMILY

Parental E	Name Family	Address	Occupation and Estimate of Income	Dates of Birth & Path	Pigar of Ermi	Marital Status & Fate
Father	(mo.'s 3rd husb.) Step-father:Louis Bader	530 W.236th St.,NY,NY	II.S.Teacher	8/26/21	Brook lyn	1961
Mother (Maiden)	Mother:Julia(Osheroff)Badey	11 12 19 19	Ret.Teacher		Bronx	11
Siblings:	None					
					<b></b>	
Marital F	amily:				ļ	
Spouse	Joy Drass (goes by this name)					
Children	(Phone:657-3942 (home)					
	541-6277(office)					
Changes in	Marital Status of Parents: Sepa	ration D	vivorce	ReMarriage	<u> </u>	
If one or		Date Name of Spouse:	Date	•	Date pation:	
_	re remarried: Date	Name of Spouse:				
History me	Father:	relatives?	eme and welstienship	to postont	<del></del>	
Names of 1	former spouses of patient, if any	/:	ame and teracrousur	, to patient)		
Dispositio	on of children during patient's o	current hospitalization:				

